



4.12.

SECTOR \$

Attorney Docket No. TRNSV-001C

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Joshua Makower, et al. )  
Application No.: 09/708,923 )  
Filed: November 8, 2000 )  
For: Methods and Apparatus for )  
Bypassing Arterial Obstructions and/or )  
Performing Other Transvascular )  
Procedures )

Art Unit: 3763

Examiner: not assigned

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**Response to Notice to File Missing Parts  
Transmittal Letter**

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed February 1, 2001:

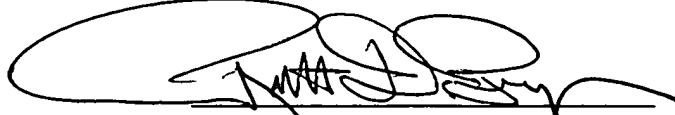
- ☐ A Declaration of Inventorship and Limited Power of Attorney is enclosed.
- ☐ An Assignment of the invention to Transvascular, Inc., including a cover sheet.
- ☐ A Statement of Ownership and Power of Attorney by Assignee
- ☒ Check No. 2213 in the amount of \$771.00 is enclosed covering the filing fee of \$355, additional claims fee \$351, and the surcharge, all based on small entity status.

- ☒ The Commissioner is hereby authorized to charge any underpayment and credit any overpayment of the filing fees required under 37 CFR § 1.16 and any patent application processing fees required under 37 § CFR 1.17 to Deposit Account No.50-0878.

Respectfully submitted,

Stout, Uxa, Buyan & Mullins, LLP

Date: March 29, 2001



Robert D. Buyan, Reg. No. 32,460

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Irvine, CA 92618  
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email: rbuyan@patlawyers.com

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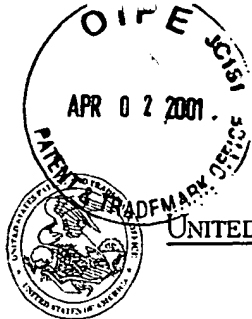
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on March 29, 2001.

Dated: March 29, 2001

By:



Annette Brooks, Assistant



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/708,923	11/08/2000	Joshua Makower	TRNSV-001C

## FORMALITIES LETTER



\*OC000000005724578\*

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Laguna Hills, CA 92653

Date Mailed: 02/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$702.
  - \$702 for 39 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1542.

*A copy of this notice MUST be returned with the reply.*

*B. Monroe*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

ROBERT D. BUYAN

FEB 16 2001

04/04/2001 BHABTEW 00000034 09708923

01 FC:201	355.00 OP
02 FC:203	351.00 OP
03 FC:205	65.00 OP